## CHECK LIST - Requirements for Stand Alone Caterer's Permit

<ul> <li>Notice of Intent Poster Application (BLUE) (posters MUST hang for 15 days) (NOTARIZED) *if location has not had an alcohol permit there in the past 6 months, you can only submit this form at this time; you must wait 15 days prior to submitting the rest of your paperwork. ***ONLY VALID FOR 90 DAYS***         <ul> <li>\$50-Beer</li> <li>\$50-Liquor</li> </ul> </li> </ul>
Application for Class A- Caterer's Permit
Schedule A (NOTARIZED) (all officers/members/directors/stockholders owning more than 5% stock, and any managers) *Question 28 includes nicknames, maiden names of owner/spouse and any previous married names.
Ads and Proof of Publication (ADS ONLY NEED TO RUN 1 TIME) Ads and must run in a paper that is published in the municipality in which he/she desires to operate his/her business; or in the newspaper published nearest to his place of business. (within same parish), if it is not located in a municipality in which a newspaper is published. ***ONLY VALID FOR 90 DAYS***
☐ Local Sales Tax Clearance Certificate
State Sales Tax Clearance Certificate (L.A. Dept. of Revenue)
Copy of Local Alcohol Permit(s) OR list the date applied for:
☐ Bona fide lease of the property or proof of ownership.
Fingerprint cards (to be mailed to State Police in Baton Rouge, 38.00 fee per individual) *Anyone who fills out a Schedule A form must be fingerprinted, except spouses and managers.
☐ If Corporate or LLC ownership:
<ul> <li>a. Copy of State Charter, or Corporate Certificate.</li> <li>b. Copy of Articles of Incorporation, or Articles of Organization</li> <li>c. Minutes listing current corporate officers and assignment of stock (must equal %100), or operating agreement listing all members and percentage of interest in LLC.</li> </ul>
☐ If PARTNERSHIP ownership:
a. Partners must meet residency requirements. Corporations and LLC must submit Louisiana Secretary of State
Certificate.  D. Copy of notarized partnership agreement, listing all partners, and percentage of ownership in the partnership.
Fees (A CASHIER'S CHECK, MONEY ORDER, CERTIFIED CHECK OR CREDIT CARD (MasterCard, American Express, and Discover).! CASH IS NOT ACCEPTED.)  o \$200- Caterer's Permit (Stand Alone Caterer)  o \$50- Responsible Vendor Fee (ONLY pay this fee if you do NOT currently have a state alcohol permit) ADDING CATERERS PERMIT TO EXISTING PERMIT Application for caterers permit & fee of \$200.00.

## **Qualifications**

## Applicants for all state and local alcoholic beverage permits shall meet the following qualifications and conditions under Louisiana Revised Statutes 26:80 and 280::

- 1. Be a person of good character and reputation, and over 18 years of age.
- 2. Be a citizen of the United States and the State of Louisiana and a resident of the State of Louisiana continuously for a period of not less than two years next preceding the date of the filing of the application. However, the requirements as to Louisiana citizenship do not apply to wholesalers or retailers who, on or prior to January 1, 1946, held permits for high alcohol content and July 26, 1944, for low alcohol permits.
- 3. Be the owner of the premises or have a bona fide written lease. In cases in which the applicant holds a bona fide written lease, the name and current address of the lessor shall be shown on the application form filed with the Commissioner. The lease must clearly indicate the effective date.
- 4. Have not been convicted of a felony under the laws of the United States, the State of Louisiana, or any other state or country.
- 5. Have not been convicted in this or in any other state or by the United States of soliciting for prostitution, pandering, letting premises for prostitution, contributing to the delinquency of juveniles, keeping a disorderly place, or illegally dealing in controlled or dangerous substances.
- 6. Have not had a license or permit to sell or deal in alcoholic beverages, issued by the United States or any other states, or by any political subdivision of a state authorized to issue permits or licenses, revoked within two years prior to the application, or been convicted, or had a judgment of court rendered against the applicant involving alcoholic beverages by this or any other state or by the United States for two years prior to the application.
- 7. Have not been convicted of violating any of the provisions of Title 26 of the Louisiana Revised Statutes.
- 8. Have not been convicted of violating any municipal or parish ordinances adopted pursuant to the provisions of Title 26. If the applicant has been so convicted, the granting of a permit or of a renewal shall be within the discretion of the commissioner.
- 9. Not be the spouse of a person who does not meet the above-listed qualifications except for the citizenship requirements listed in number 2 above. An alternative is referenced in R.S. 26:80(G) and 280(G).
- 10. Not owe the state or the local government subdivisions in which the application is made any delinquent sales taxes, penalties, or interest excluding items under formal appeal pursuant to applicable statutes.

**PARTNERSHIPS:** If the applicant is a partnership or anyone in partnership with or financed by another, all members of the partnership or all the persons furnishing the money shall also possess the qualifications required of the applicant. The application shall name all partners or financial backers and furnish their proper addresses and social security numbers.

CORPORATIONS/LLCs: If the applicant is a corporation or limited liability company (LLC), all officers and directors and all stockholders or members owning in the aggregate more than five percent of the stock or membership interest in a limited liability company and the person or persons who shall conduct or manage the business shall possess the qualifications required of an applicant. However, the requirements as to citizenship and residence do not apply to officers, directors, and stockholders of corporations or membership in an LLC. The corporation or LLC shall either be organized under the laws of the State of Louisiana or qualified to do business within the State of Louisiana.

MANAGERS, AGENTS, SERVANTS, EMPLOYEES AND REPRESENTATIVES: If the applicant's business is to be conducted wholly or partly by one or more managers, agents, servants, employees, or other representatives, those persons shall also possess the qualifications required of the applicant.

**FINGERPRINTS**: Must be submitted to the Department of Public Safety, Criminal Records Division, by all persons who are required to possess these qualifications. All fingerprints will be submitted to FBI for national background check.

IMPORTANT NOTICE: If the applicant or any other person required to have the same qualifications does not possess the required qualifications, the permit may be denied.

Permit Class, Type, and Fees



Office Use Only
Permit Class and Number

# Louisiana Office of Alcohol and Tobacco Control Ernest Legier Jr., Commissioner

## Notice of Intent Poster Application

Notice of Intent Posters (NOI posters) are required for <u>ALL</u> retail alcoholic beverage permits. NOI posters are valid for <u>90 days</u> from the date of issuance and must be submitted to ATC as follows:

- Existing Business
  - o If there has been an alcoholic beverage permit at the location within the previous 6 months, submit your NOI application and your completed alcoholic beverage application packet to ATC at the same time.
- New Business
  - o If there has not been an alcoholic beverage permit at the location within the previous 6 months.
    - Upon submitting your NOI application, you will receive the NOI poster(s) which must be displayed in your business <u>for at least 15 days</u> **before** you may submit your completed alcoholic beverage application packet.

Please select the class and type of permit. If you do not know which class of permit you should mark, please read the "Retail Permit Information" with the corresponding statutes on the previous page to determine which class is suitable for your business model. **CLASS of Permit** Types of Alcoholic Beverages to Be Sold and Fees □ Class A-General; *LA R.S. 26:71.1(1)/271.1(1)* ☐ Beer: \$50.00 □ Class A-Restaurant; *LA R.S. 26:73(c)/273(c)* ☐ Liquor: \$50.00 ☐ Class A-Caterer; LAC 55: VII: 325 ☐ Wine: \$50.00 \*Only mark if liquor is not marked ☐ Class B-Package Store *LA R.S. 26:2(13); 241(13)* ☐ Class C-Package Store *LA R.S. 26:71.2(13)/271.2* <u>Type of Ownership</u> (circle one): Individual \* Partnership \* Corporation \* Limited Liability Corporation (LLC) Owner Name (name of individual, partnership, etc.): Mailing Address: Same as business address? ☐YES □ NO (if "no" complete below address information) LA State Tax ID Number: | | | | | | | | | | | | | Has this location held a valid state-issued beer/liquor permit within the last 6 months? ☐ YES ☐ NO If "YES", what was the trade name of the business? Contact Person: | | | | | | | | | | Affiliation with Business: | | | | | | | | |

Email Address:



Office Use Only
Permit Class and Number

# Louisiana Office of Alcohol and Tobacco Control Ernest Legier Jr., Commissioner

## Notice of Intent Poster Application Continued

Read the following payment information carefully to ensure your payment is timely received.

- NO REFUNDS will be made once this application packet and fees have been reviewed by this office.
- ATC accepts the following forms of payment via mail:
  - o Money orders
  - Certified Checks
  - o Business/Personal Checks
- ATC accepts the above forms of payment and the following additional forms of payment at the Regional offices:
  - o Credit cards, including MasterCard, American Express, Visa, and Discover
- Mail fully completed forms, all supporting documents, and application fees to:

Louisiana Office of Alcohol and Tobacco Control

P.O. Box 66404

Baton Rouge, LA 70896-6404

swear that I am authorized to sign on behalf of ocument is true and accurate to the best of my	Affidavit the applicant business, and that the information reported on this knowledge.
ignature of Applicant	Title
rint/Type Applicant's Name	For NOTARY Use Only
	For NOTARY Use Only  me thisday of, 20

For Office Use Only

# LOUISIANA DEPARTMENT OF REVENUE OFFICE OF ALCOHOL AND TOBACCO CONTROL P.O. BOX 66404

BATON ROUGE, LOUISIANA 70896-6404 TELEPHONE (225) 925-4041 – FAX (225) 925-9874

## APPLICATION FOR CATERER'S PERMIT

INSTRUCTIONS: APPLICATION MUST BE COMPLETE WITH PROPER	FEES OF \$200 ATTACHED. PLEASE TYPE OR PRINT IN INK.
Owner name (individual partnership, LLC, Corporation)	Trade Name
Mailing Address PO Box,/Street/City/State/Zip	Phone No.
Location Address PO Box/Street/City/State/Zip DO YOU HAVE AN CURRENT CLASS A OR B PERMIT?	Parish  YES NO
IF YES, PERMIT NUMBER? EXE	PIRATION DATE?
IF NO: (1) DO YOU OPERATE A FULLY-EQUIPPED KITCHEN	I? □YES □NO
(2) DO YOU DERIVE 70 PERCENT OF YOUR GROSS A FOOD- RELATED PRODUCT? YES NO	NNUAL REVENUE FROM THE SALE OF FOOD OR
(3) DO YOU DERIVE 40 PERCENT OF YOUR GROSS R OR FOOD-RELATED PRODUC™YES □NO	EVENUE PER EVENT FROM THE SALE OF FOOD
(4) DO YOU MAINTAIN SEPARATE SALES FIGURES I	FOR ALCOHOLIC BEVERAGES? TYES NO
(5) HAVE YOU INCLUDED AN ADDITIONAL \$50 FEE	E FOR THE RESPONSIBLE VENDOR PROGRAM?
***COMPLETED SCHEDULE A FORMS MUST ALSO OWNER, EACH PARTNER, EACH OFFICER, AND STOTHE CAPITAL STOCK.	BE EXECUTED AND SUBMITTED BY THE MANAGER, OCKHOLDER OWNING MORE THAN 5 PERCENT OF
AFF	TDAVIT
This affidavit must be signed by owner, if individual ownershi corporation or LLC. It is understood any misstatement or suppgrounds for denial.	p; authorized partner, if partnership; or authorized official if pression of fact in this application or accompanying documents is
I swear (or affirm) that I have read each of the questions in this and correct to the best of my knowledge and that I meet the qu 26:80 and 280, and LAC 55:VII.325; and I further swear (or at wholesale beer or liquor permit.	alifications and will abide by the conditions set forth in R.S.
Applicant's Signature:	
Title:	
Print/Type your name:	
Sworn to and subscribed to me thisday of, 20	)
In the parish of, State of	
Notary Public's Signature:	
Print Name of Notary Public:	



## SCHEDULE A FORM INDIVIDUAL SUITABILITY DISCLOSURE FORM

Note: Must be executed by **EACH INDIVIDUAL** manager, owner, partner, financial backer, officer, agent, director, stockholder, member, or any person owning more than 5% of the stock or membership interest in the business.

			BUSINES	S INFORMATION			
1.	Business/Entity Legal Name:	(Name of individ					
2.	Trade Name (DBA):	-					
3.	Business Address: (Street Add	lress, City, State, a	and Zip code)				
4.	Business Phone Number:			5. Business Email Add	ress:		
			******				
6.	Individual Affiliation with Bu	siness:	INDIVID	OUAL INFORMATION			
•		Member/Director	r 🗆 Part	ner 🗆 Other:			
	☐ Investor ☐ Stockhole	der/Shareholder	☐ Own	ner			
7.	Full Name			8. Maiden name/alias,	/nickname/former l	legal name:	
9.	Occupation:	-		10. Employer:			
11.	Date of Birth:		12. Age:		13. Place of Birth	n:	
1.4	Business Phone Number:			15. Cell Number:			
14.	Dusiness Filone Number.			13. Celi Nullibei.			
16.	Email Address:			17. Mailing Address:			
18.	Social Security Number:						
						1	
19.	Race:	20. Gender:		21. Driver's License Nu	ımber:	22. State of	f Issuance
	Is a colored copy of your driv					☐ YES	□NO
24.	Have you continuously reside	d in Louisiana for	the last two	(2) years?		☐ YES	□NO
	Are you a U.S. Citizen?	☐ YE	ES 🗆	NO, enter Naturalization			
26.	Affiliation with Business: (Job	Title/Position)		27. Percentage of O	wnership:		



	Ernest P. Legier, Jr., Commi	ssioner		
28.	Select Marital Status:   Married  Single  Divorced	Legally separated		
29.	Name of Spouse:			
30.	Spouse Maiden Name/Alias (if applicable):			
31.	Spouse's Date of Birth:	32. Spouse's Social Security Nu	mber:	
33.	Spouse Driver's License No:	34. State of Issuance:		
35.	Is the marriage a community property regime? (separation of property agreeme	nt)	☐ YES	□NO
	Will Spouse assist in managing Applicant's business?	,	□ YES	□NO
37.	Do you or your spouse own or hold interest in any other business holding a stapermit? If yes, provide the following information. If more than one, please atta		□ YES	□NO
	Permit Number: Trade Name: Location address:			
	OHALIEICATION			
38	QUALIFICATION  Is the applicant applying for a video poker license issued by Louisiana State Po	ice?	☐ YES	□NO
	Is this application being submitted by you to obtain an alcoholic beverage per			
37.	of any other person?	mit in your name for the benefit	☐ YES	□NO
	If you answered YES to any question listed below, a Sched Applicant must attach a disposition of	-		
	CRIMINAL BACKGROUND INFO	RMATION		
40.	Have you or your spouse ever been convicted of violating any state or local al ordinance?	coholic beverage statute, rule or	☐ YES	□NO
41.	Have you or your spouse had any license or permit to sell or deal in alcoholic two (2) years prior to filing this form?	beverages revoked within the last	☐ YES	□NO
42.	Have you or your spouse ever been denied an alcoholic beverage permit?		☐ YES	□NO
43.	Have you or your spouse ever been arrested for a felony charge?		☐ YES	□NO
44.	Have you or your spouse ever been convicted of a felony?		☐ YES	□NO
45.	Have you or your spouse ever had a conviction adjudicated under 893 or 894, pled guilty or pled nolo contendere or "no contest"?	dismissed, pardoned, expunged,	□YES	□NO
	Have you or your spouse ever been convicted of solicitation for prostitution, prostitution, contributing to the delinquency of a juvenile, keeping a disorder dangerous substances?	y place, or dealing in controlled	☐ YES	□NO
	Have you or your spouse ever been convicted of distributing or possessing controlled dangerous substance on any premises holding an alcoholic beverage an ownership interest in the business?	e permit where you held or hold	□ YES	□NO
48.	Have you or your spouse been convicted or has a judgment of court rendered a service of alcoholic beverage by this or any other state or in the U.S. within the		☐ YES	□NO
	Have you or your spouse ever been convicted in this or in any other state in the		☐ YES	□NO
50.	Have you or your spouse ever been convicted in this or in any other state in the false statements or declarations?	e U.S. of any crime involving	□YES	□NO
51.	Have you or your spouse ever been convicted in this or in any other state in the	e U.S. of gambling?	□ YES	□NO

#### DISCLOSURE OF OTHER INTEREST IN ALCOHOL INDUSTRY



	Ernest P. Legier, Jr., Commissioner		
	& UNFAIR BUSINESS AND TRADE PRACTICES		
52.	Does Applicant understand it is prohibited to directly or indirectly have any overlapping ownership or any other prohibited relationship between those engaged in the business related to the alcoholic beverage industry such as engaging as a retailer/wholesaler, retailer/manufacturer*, or wholesaler/manufacturer.	□ YES	□NO
53.	Does Applicant or applicant's spouse receive any proceeds or profits from any alcoholic beverage retailer, wholesaler or manufacturer? If Yes, provide additional details below or attached detail explanation.	□ YES	□NO
	Does Applicant understand that alcoholic beverage manufacturers and wholesalers are prohibited from providing an alcohol retailer with anything of value unless explicitly enumerated as an exception as provided by law or regulation?	□ YES	□NO
55.	Does Applicant understand that manufacturers and wholesalers are prohibited from inducing or otherwise influencing, directly or indirectly, a retailer from selling and/or serving its products to the exclusion, in whole or in part, of products of other manufacturers and/or wholesalers, including but not limited to influencing the retailer in any way regarding the quality or brand of alcoholic beverages bought or sold by the retailer?	☐ YES	□NO
56.	Does Applicant understand that retailers are prohibited from accepting or requiring any such inducement or other influence and anyone found in violation of the trade practices laws and regulation of the State of Louisiana, is subject to penalties, suspension or revocation of its permit?	☐ YES	□NO
	SWORN STATEMENT/AFFIDAVIT		
the	wear that I have read each of the above questions and that the answers that I have given are true best of my knowledge and that I meet the qualifications and conditions set forth in La. R.S. 20 consent to this information being shared with the Louisiana State Police for video poker licens	6:80 and 2	26:280. I
Print	Name Signature		
Title	Date		
	Notary		
	•		
	Sworn to and subscribed to me on thisday of, 20, in the parish/c	ounty of	
	, State of		
	Name of Notary Public Signature of Notary Public		
	Office Use Process by: Permitted by and date: Approval By & Date:		

Office	Use	Only:
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#### SCHEDULE F FORM CRIMINAL HISTORY DISCLOSURE FORM

#### Who is required to complete?

1. Business Legal Name: (Name of individual or business entity)

This form must be completed by any applicant who has been convicted of a felony and seeks lawful issuance of an alcoholic beverage permit under the provisions of R.S. 26:80(f) and/or 26:280(f). Applicant attests that the felony was not a crime of violence as defined in LA R.S. 14:2B, and that 10 years or more have passed between the date of this application and the successful completion of any sentence, deferred adjudication, or period of probation, parole, and the final discharge of the defendant. Applicant is required to submit official documentation of pardon, restoration of rights, or official certification that 10 years or more has passed since successful completion of any sentence, deferred adjudication, or period of probation or parole and the final discharge of the defendant at the time of application.

If you have been convicted of a crime of violence as defined in La. R.S. 14:2B, you will not qualify for an alcoholic beverage permit. See ATC's website for additional information and see frequently asked questions at <a href="https://www.legis.la.gov/Legis/Law.aspx?d=78337">www.legis.la.gov/Legis/Law.aspx?d=78337</a>.

**BUSINESS INFORMATION** 

2.	Trade Name (DBA):					
3.	Business Address:					
4.	City:	5.	Zip Code:		6. County/Par	rish:
		n	NDIVIDUAL	INFORMATION		
7.	Affiliation with Business:					
8.	Print Name:		9.	Maiden name/alia	s/nickname/form	ner legal name:
	Date of Birth:		11	. Place of Birth:		
12.	Business Phone Number:		13	3. Cell Number:		
14.	Email Address:		15	. Mailing Address:		
	Social Security Number:					
17.	Race:	18. Gender:	19	). Driver's License N	Number:	20. State of Issuance

Office Use Only:	



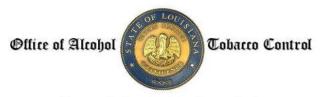
## HISTORY OF ARREST AND CONVICTION

Arrest Charge/Conviction (Statute)	Date of Arrest of Conviction	Jurisdiction/Court Agency	Date of Discharge or Disposition

APPLICANT MUST ATTACH A DISPOSITION OF EACH ARREST AND/OR CONVICTION LISTED ABOVE. FAILURE TO SUBMIT A DISPOSITION MAY RESULT IN PROCESSING DELAYS OR DENIAL.

#### Sworn Statement and Affidavit

rint Owner/Office	r/Member Name	Title		Signatur	e of Owner/Officer/Member
ate					
			Notary		
Sn_					, in the parish/county of
	Name of Notary Public	 :		Signature o	of Notary Public
Office Use Pro	cess by:		Date Submitted:		Approval By & Date:



#### **CRIMES OFVIOLENCES**

A "Crime of Violence" as defined in La. R.S. 14.2B includes any crimes listed below. If you have been convicted of any of the felonies listed below, you shall be disqualified for an alcoholic beverage permit.

(-)	Concrete for marker.
(2)	First degree murder.
(3)	Second degree murder.
(4)	Manslaughter.
(5)	Aggravated battery.
(6)	Second degree battery.
(7)	Aggravated assault.
(8)	Repealed by Acts 2017, No. 281, §3.
(9)	Aggravated or first degree rape.
(10)	Forcible or second degree rape.
(11)	Simple or third degree rape.
(12)	Sexual battery.
(13)	Second degree sexual battery.
(14)	Intentional exposure to AIDS virus.
(15)	Aggravated kidnapping.
(16)	Second degree kidnapping.
(17)	Simple kidnapping.
(18)	Aggravated arson.
(19)	Aggravated criminal damage to property.
(20)	Aggravated burglary.
(21)	Armed robbery.
(22)	First degree robbery.
(23)	Simple robbery.
(24)	Purse snatching.
(25)	Repealed by Acts 2017, No. 281, §3.
(26)	Assault by drive-by shooting.
(27)	Aggravated crime against nature.
(28)	Carjacking.
(29)	Repealed by Acts 2017, No. 281, §3.
(30)	Terrorism.
(31)	Aggravated second degree battery.
(32)	Aggravated assault upon a peace officer.
(33)	Aggravated assault with a firearm.
(34)	Armed robbery; use of firearm; additional penalty.
(35)	Second degree robbery.
(36)	Disarming of a peace officer.
(37)	Stalking.
(38)	Second degree cruelty to juveniles.
(39)	Aggravated flight from an officer.
(40)	Repealed by Acts 2014, No. 602, §7, eff. June 12, 2014.
(41)	Battery of a police officer.
(42)	Trafficking of children for sexual purposes.
(40)	TT

Solicitation for murder.

(1)

(43)

(44)

(45)

Human trafficking.

Domestic abuse aggravated assault.

Home invasion.

(46)	Vehicular homicide, when the operator's blood alcohol concentration exceeds 0.20 percent by weight based on grams of alcohol per one hundred cubic centimeters of blood.
(47)	Aggravated assault upon a dating partner.
(48)	Domestic abuse battery punishable under R.S.
	14:35.3(M)(2) or (N).
(49)	Battery of a dating partner punishable under R.S.
	14:34.9(L)(2) or (M).
(50)	Violation of a protective order if the violation
	involves a battery or any crime of violence as
	defined by this Subsection against the person for
	whose benefit the protective order is in effect.
(51)	Criminal abortion.
(52)	First degree feticide.
(53)	Second degree feticide.
(54)	Third degree feticide.
(55)	Aggravated criminal abortion by dismemberment.



#### **BACKGROUND CHECK AUTHORIZATION FORM - ATC**

Louisiana State Police
Bureau of Criminal Identification and Information
P.O. Box 66614 (Mail Slip A-6)
Baton Rouge, LA 70896

Acceptable forms of payment include: Cashier Check, Business Check with pre-printed business name or Money Order Credit Card payments are accepted when paying in person at Louisiana State Police Headquarters

\*\*FORMS MUST BE FILLED OUT IN INK AND BE REVIEWED BY SUBMITTING AGENCY/INDIVIDUAL FOR ACCURACY\*\*

\*\*\*\*FINGERPRINTS ARE NECESSARY FOR A POSITIVE IDENTIFICATION\*\*\*\*

		****PLEAS	E PRINT**	**	
AGENCY, FACILITY OR INDIVIDUAL  MAILING ADDRESS			AGENCY	, FACILITY AUTHORIZEI	D REPRESENTATIVE OR INDIVIDUAL
			Ç.		
			SIGNATURE OF AUTHORIZED REPRESENTATIVE/INDIVIDUAL		
			(	)	
CITY	STATE	ZIP CODE	AGENCY	, FACILITY OR INDIVIDU	AL PHONE NUMBER
			AGENCY	OR FACILITY E-MAIL AI	DDRESS
Request For:					
_					
X LOUISIAN	NA ALCOHOL AND	TOBACCO CON	TROL – L	A920980Z (ATC)	
APPLICANTS FULL	NAME: NK****				
****PRINT – USE I	NK****	LAST		FIRST	MIDDLE
*INCLUDE MAIDEN	N NAME & PREVIOUS	MARRIED NAME	S BELOW	IF APPLICABLE:	
*LAST	FIRST	MIDD	LE		
*LAST	FIRST	MIDD	DLE		
A DDI ICANTO COCI	AL CECUDITY				
APPLICANTS SOCI	AL SECURITY #				
DATE OF BIRTH	///	ī	RACE	SEX	
DITTE OF BIRTH.					
DRIVERS LICENSE	or ID #			STATE	
POSITION or LICEN	ISE APPLIED FOR				
APPLICANTS SIGN	ATURE:				
APPLICANTS PHON	NE NUMBER:				

#### AUTHORIZATION TO DISCLOSE CRIMINAL HISTORY RECORDS INFORMATION

By my signature above, I hereby authorize the Louisiana State Police to release all pertinent criminal record information maintained in their files, other states files, or the FBI files (if applicable) which may confirm or deny my eligibility with the facility or agency named above. Pursuant to Title 28, C.F.R., Section 16.34, officials making the determination of suitability for licensing or employment shall provide the opportunity to complete, or challenge the accuracy of, the information contained in the FBI identification record.

DPSSP 6696 (ATC) Revised 6/8/2022

ATN:	SID:	
71111.	DID	

## RAPSHEET DISCLOSURE BUREAU OF CRIMINAL IDENTIFICATION AND INFORMATION P.O. BOX 66614 (MAIL SLIP A-6) BATON ROUGE, LA 70896

BATON ROUGE, LA 70896		
AGENCY, BUSINESS OR INDIVIDUAL NAME MAILING ADDRESS	NOTICE: PLEASE PRINT OR TYPE INFORMATION, EXCLUDING ADMINISTRATORS OR AUTHORIZED PERSONS SIGNATURE.	
CITY STATE ZIP CODE	INCOMPLETE FORMS WILL NOT BE PROCESSED.	
APPLICANT NAME: HEIGHT:HAIR COLOR: EYE COLOR:	WEIGHT:	
SOCIAL SECURITY NUMBER:		
DO NOT WRITE BELOW THIS LINE: (For Bureau of Crim	ninal Identification and Information Use Only)	
CRIMINAL HISTORY D	ETERMINATION	
ALL INFORMATION RELEASED MUST REMAIN STRICT AUTHORIZED BY LAW TO RECEIVE THIS INFORM		
<b>NOTICE:</b> The response to your request for a criminal histo Louisiana's criminal history records database as is available the possible existence of an arrest or conviction information	at the time of request. This does not preclude	
□ RAPSHEET AT	ГАСНЕО	
□ RESPONSE BEL	LOW	



#### **ELECTRONIC FINGERPRINT CERTIFICATION FORM**

Notice: In lieu of submitting fingerprint cards, Applicants for Alcohol or Tobacco permits may complete electronic fingerprints at the Louisiana State Police Headquarter, located at 7919 Independence Blvd, Baton Rouge, LA 70806. Applicants completing electronic fingerprints is required to remit the additional electronic submission fee and this completed form to the ATC.

\*\*\*\* IF APPLICANT SUBMITTED FINGERPRINTS ELECTRONICALLY\*\*\*\*

	Please submit: ELECTRONIC SUBMISSION FEE: \$10.00
SUBMIT CERTIFICATION FORM:	LOUISIANA OFFICE OF ALCOHOL TOBACCO CONTROL 7979 INDEPENDENCE BLVD., SUITE 101 BATON ROUGE, LA 70806
SUBMIT FEE TO LSP:	\$10.00 for electronic submission of fingerprints directly to Louisiana State Police
	***Money Orders or Cashier's Check ONLY.  **This fee is in addition to the background processing fee of \$39.25
WHEN TO SUBMIT:	If applicant submit fingerprints electronically, a complete copy of this certification form must be submitted with application. ATC will not process the application without verification that all required fingerprints have been submitted.
	(print name), hereby certify that I am applying for
9 1	rmit with the Louisiana Office of Alcohol and Tobacco Control (ATC), that I have
, 6 1	s electronically at an office provided by Louisiana State Police (LSP), and that I have
submitted the necessary	authorization form to allow ATC to receive the results of my criminal background

•	w ATC to receive the results of my criminal background
report from the FBI and LSP as mandated by La R.S	5. 26:80 and La. R.S. 26:280.
Applicant's Signature	Date of Fingerprint Submission
Signature of Employee Administering Fingerprints	Print Name of Employee
Address of Location who	ere Fingerprints were submitted

## A Note from the Louisiana Office of Alcohol & Tobacco Control

As the agency tasked with regulating alcoholic beverage and tobacco commodities, one of our main goals here at ATC is to promote awareness and understanding throughout the industry of the Alcoholic Beverage and Tobacco Laws and Regulations. As such, we feel that it may be helpful to provide you with a few commonly un-known or misunderstood provisions of law. Did you know...

- Licensed Louisiana retail dealers of alcohol and tobacco products can only purchase their inventory from licensed Louisiana wholesale dealers.
- 6% price mark-up provisions apply to alcohol and tobacco products.
- Permits are issued per location address and only one alcoholic beverage permit can be issued to an address (i.e. you cannot have two classes of permits at one address).
- Public habitable area means publicly accessible space, within a structure, which is
  permanent in nature, is in compliance with applicable building codes, is fully enclosed
  and climate controlled.
- Employees selling or serving alcoholic beverage and tobacco products must obtain a Responsible Vendor Certification within 45 days of employment.
- Retail dealers are required to maintain alcoholic beverage and tobacco invoices as well as employee records on the licensed premise at all times.
- Managers must be registered and certified by ATC. It is important to supply a Schedule A on all appointed managers.
- ATC is authorized to accept documentation and provide information to anyone registered with a business. As such, it is imperative, for the safety your business information, to maintain current ownership and management records with ATC.
- Smoking is not allowed on any areas of a Class B, C (package stores), or AR premise (restaurant).
- Placing signs provided by wholesalers/suppliers outside your business is prohibited (this also includes utility items such as table umbrellas).
- The sale of single cigarettes is prohibited.

A complete copy of the Alcoholic Beverage and Tobacco laws and regulations can be downloaded from the ATC website at <a href="www.atc.la.gov">www.atc.la.gov</a>. We are always happy to assist you with any questions or concerns at (225)925-4041. Additionally, we encourage you to help us promote responsible business practices by reporting violations of the alcoholic beverage and tobacco laws to our complaint line at (225)925-4070.